



# 2013 Workforce Development Conference

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## VET Quality Framework

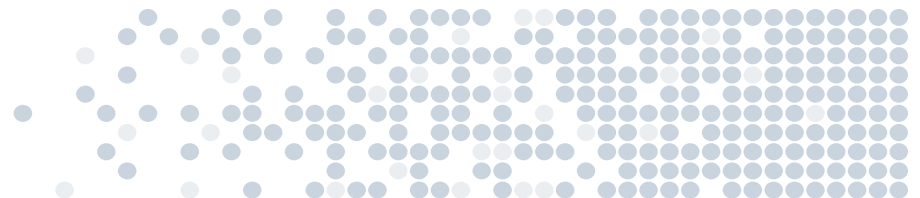


Australian Government  
Australian Skills Quality Authority

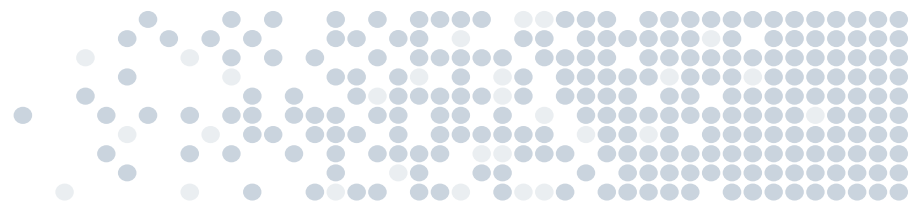
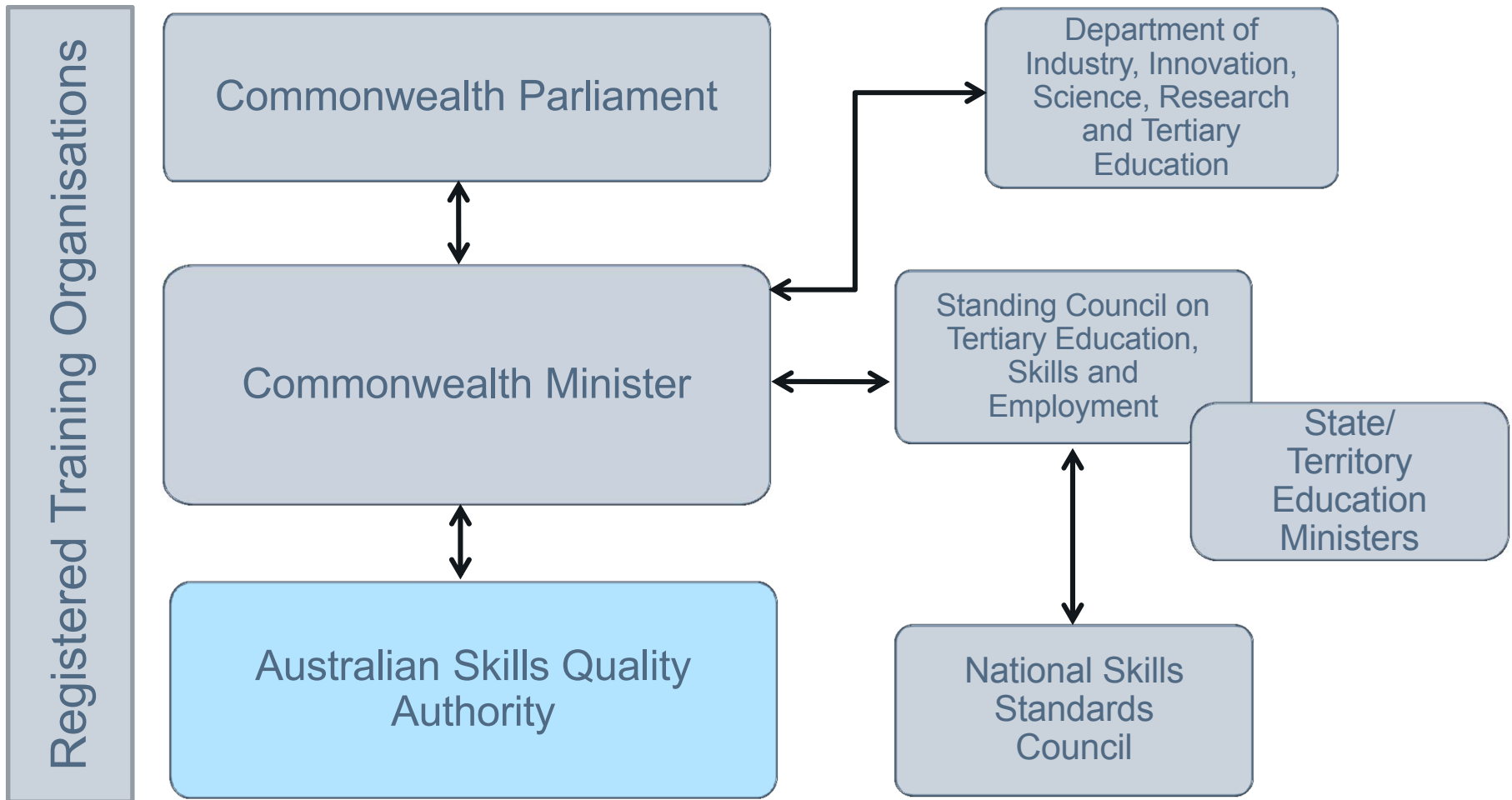
# What is the VET Quality Framework?

**The VET Quality Framework is a set of standards and conditions that ASQA uses to assess whether an RTO meets the requirements for registration**

- It includes:
  - Standards for NVR Registered Training Organisations 2011 (initial registration + continuing registration)
  - Australian Qualifications Framework
  - Fit and Proper Person Requirements
  - Financial Viability Risk Assessment Requirements
  - Data Provision Requirements



# Governance arrangements

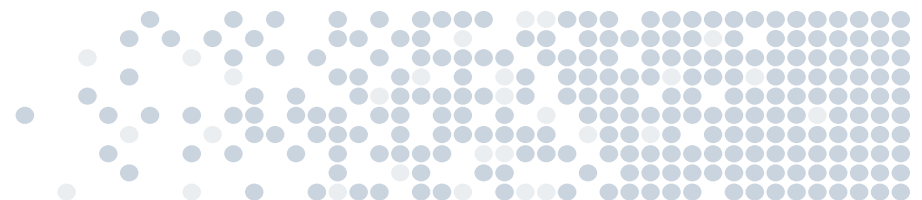


# ASQA audits



## When, what, why?

- Audits are conducted either in response to an application (initial, renewal, change, post-initial audits) or in response to identified risks (compliance audits)
- Strategic industry reviews are also conducted in response to identified high-level risks across an industry or training product
- Audits are conducted against the *Standards for NVR Registered Training Organisations 2012* (SNR)
- Audit scope depends on provider risk rating and other factors relating to that particular provider

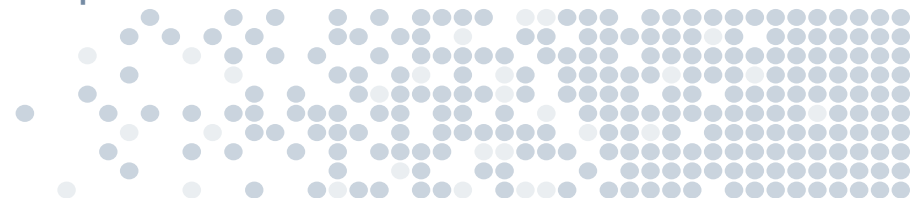


## ASQA audits (continued)



### Post-audit process

- Where non-compliances are identified, a report is issued detailing required rectifications, along with information on deadlines and where to send evidence (Electronic submission of evidence is preferred)
- If non-compliances remain after analysis of rectification, a recommendation is forwarded to the ASQA Commissioner/s
- 'Notice of intent to apply sanction' may then be issued, accompanied by an updated audit report, along with information on deadlines and where to send a response (include evidence of compliance in response)
- If a sanction is imposed, a reconsideration process is available, including an opportunity to provide further evidence of compliance

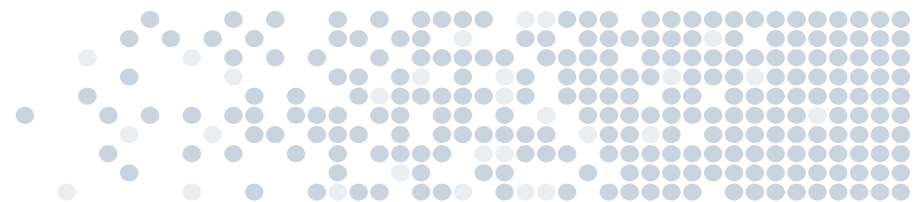


## ASQA audits (continued)



### Common non-compliances

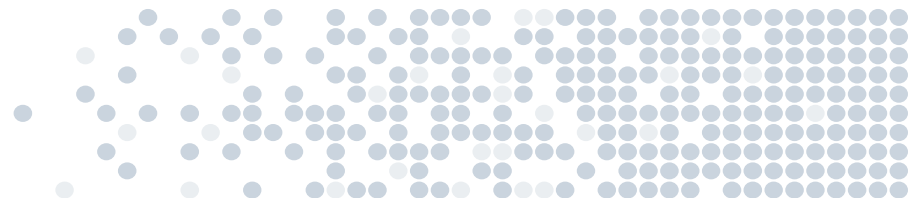
- Assessment – commonly poor quality, apparent lack of understanding within many RTOs about what constitutes valid assessment. Often, even where good quality assessment materials are available, they are not used consistently, fully or at all
- Trainers – vocational competence, currency of industry skills
- Qualifications – content, logos, fraud protection



# Common non-compliances

## Assessment (SNR 15.2, 15.5)

- Strategies
  - lack of industry consultation in the **development** of strategies
- Assessment
  - Lack of **recording** of observations
  - Lack of guidance for assessors and candidates
  - Not assessing all requirements of the unit

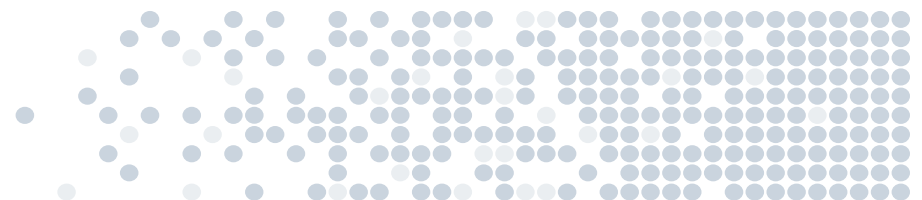


## Common non-compliances (continued)



### Trainers and assessors (SNR 15.4)

- Equivalence of competence
  - Training and assessment competence
  - Vocational competence
- The above are equally important, as is currency in both areas
- There is no requirement for mapping of trainer/assessor competence to be provided at an audit, although this may be useful in demonstrating compliance
- Competence of trainers and assessors must be **verified**  
(verification does not require certified copies)





Questions?



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